





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,778	01/23/2002	Donna D. Holland	71710/56835

CONFIRMATION NO. 8739

## FORMALITIES LETTER



\*OC000000007496587\*

DBRC  
Intellectual Property Practice Group of  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209

Date Mailed: 02/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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M-WAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney Docket No.: 71710/56835



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT(S):** Donna D. Holland, et al.

**U.S.S.N.:** 10/055,778

**GROUP ART UNIT:** 3764

**FILED:** January 23, 2002

**EXAMINER:** Not Yet Known

**FOR:** ILLUMINATED RETRACTOR FOR USE IN CONNECTION WITH  
HARVESTING A BLOOD VESSEL FROM THE ARM

\*\*\*\*\*

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, D.C. 20231 on March 1, 2002.

By: 

Donna R. Davis

\*\*\*\*\*

**BOX MISSING PARTS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT IN REPLY  
TO THE NOTICE TO FILE MISSING PARTS DATED FEBRUARY 19, 2002**

Dear Sir:

In addition to updating the Filing Receipt to reflect the addition of the signed Declaration and Power of Attorney Form, Applicant(s) respectfully request a corrected filing receipt for the above-referenced patent application. A copy of the Filing Receipt with the required changes marked in red ink is enclosed for your convenience.

**Custom r No.: 21874**

Attorney Docket No.: 71710/56835

Applicant(s): Donna D. Holland, et al.

U.S.S.N.: 10/055,778

Filed: January 23, 2002

Group Art Unit: 3764

Examiner: Not Yet Known

Page 2

The correct spelling of the second named inventor's name is:

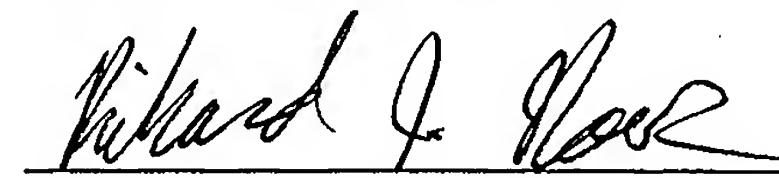
John D. Pond, **Jr.**

Due to PTO error, Applicant(s) believe that no filing fees are due.

Respectfully submitted,

Date: March 1, 2002

By:



Richard J. Roos, Esq.

Reg. No.: 45,053

DBRC, Intellectual Property

Practice Group

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1661 RJR  
56835  
(1710)

**UNITED STATES PATENT AND TRADEMARK OFFICE**



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/055,778	01/23/2002	3764	1496	71710/56835	8	48	6

**RECEIVED**

FEB 25 2002

EDWARDS & ANGELL LLP  
DIKE BRONSTEIN  
ROBERTS CUSHMAN

CONFIRMATION NO. 8739

**FILING RECEIPT**



\*OC00000007496586\*

DBRC  
Intellectual Property Practice Group of  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209

Date Mailed: 02/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Donna D. Holland, Residence Not Provided;  
John D. Pond JR., Residence Not Provided;  
Douglas G. Evans, Residence Not Provided;

*John D. Pond, Jr.*

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 02/19/2002**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Illuminated retractor for use in connection with harvesting a blood vessel from the arm

**Preliminary Class**

600

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).